

Living Cationic Polymerization of Isobutyl Vinyl Ether by RCOOH/Lewis Acid Initiating Systems: Effects of Carboxylate Ions and Lewis Acid Activators¹

Masami Kamigaito, Mitsuo Sawamoto, and Toshinobu Higashimura*

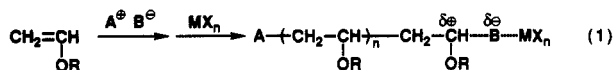
Department of Polymer Chemistry, Faculty of Engineering, Kyoto University, Kyoto 606, Japan

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ABSTRACT: A series of acetic acid derivatives (RCOOH; R = CF₃, CCl₃, CHCl₂, CH₂Cl, CH₃), coupled with zinc chloride (ZnCl₂), induced living cationic polymerization of isobutyl vinyl ether (IBVE) in toluene at 0 °C. Except for R = CH₃, the number-average molecular weights (\bar{M}_n) of the polymers were directly proportional to monomer conversion and in excellent agreement with the calculated values, assuming that one polymer chain forms per unit IBVE-RCOOH adduct [CH₃CH(OiBu)OCOR (1)]. The molecular weight distribution of the polymers was very narrow ($\bar{M}_w/\bar{M}_n \leq 1.1$) for R = CF₃ and CCl₃; it gradually broadened ($\bar{M}_w/\bar{M}_n = 1.1 \rightarrow 1.3$) as the electron-withdrawing power of the substituent R decreased. The stronger RCOOH, the faster the reaction was. When a stronger Lewis acid activator, ethylaluminum dichloride (EtAlCl₂), was employed in place of ZnCl₂ in the 1/ZnCl₂ system, a fast, conventional, nonliving polymerization occurred. However, this system indeed led to living polymerization of IBVE in the presence of an excess of 1,4-dioxane. Thus, the living nature was clearly affected by the nucleophilicity of acetate ions and the acidity of Lewis acid activators. The effects of RCOOH (initiator) and Lewis acid (MX_n; activator) demonstrated the importance of the nucleophilic interaction between the growing carbocation and the counteranion (·OCOR ·· MX_n), and thereby the relation between "stabilization of a carbocation by a counteranion" and "stabilization of a carbocation by an externally added base", both of which are principles for living cationic polymerization proposed by us, was clarified.

Introduction

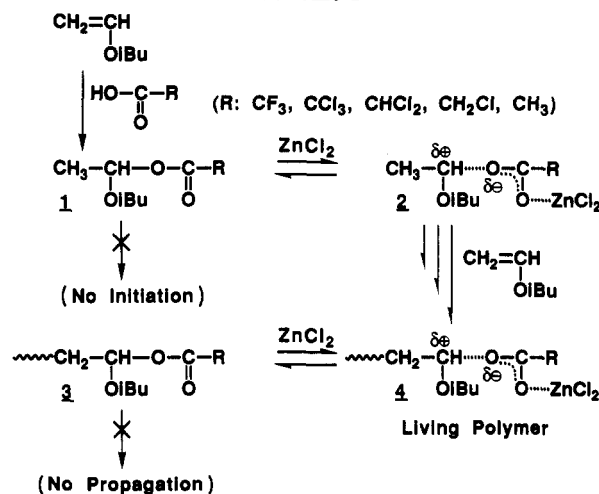
Recently we have reported that living cationic polymerization of vinyl ethers can be achieved by stabilization of a growing carbocation (1) with a suitably nucleophilic counteranion or (2) with an externally added Lewis base.² The system based on method 1 consists of an initiator AB that generates a nucleophilic anion B⁻ and a weak Lewis acid activator MX_n. With the A⁺B⁻/MX_n initiating system, living cationic polymerization may proceed via a binary counteranion, B⁻ ·· MX_n (eq 1), where the anion



B⁻ is modified by MX_n in such a way that the nucleophilicity of B⁻ ·· MX_n is suitably high to stabilize the growing carbocation. The HI/I₂,³ HI/ZnX₂ or SnX₂ (X = I, Br, Cl),^{4,5} and HOP(O)(OPh)₂/ZnI₂⁶ initiating systems have been developed on the basis of this concept. However, the range of the nucleophilic anions B⁻ is rather limited, and, more important, it remains still unknown how nucleophilic these anions should be so as to be suited for living cationic polymerization.

With these problems in mind, we herein employed a series of acetic acid derivatives (RCOOH; R = CF₃, CCl₃, CHCl₂, CH₂Cl, CH₃), in conjunction with zinc chloride (RCOOH/ZnCl₂ initiating systems), for living cationic polymerization of isobutyl vinyl ether (IBVE). These substituted acetic acids form ester-type adducts (1) with IBVE, but 1 by itself cannot polymerize IBVE.⁷ It is expected that the activation of the ester linkage by ZnCl₂ gives a suitably nucleophilic counteranion (·OCOR ·· ZnCl₂) that would stabilize the growing carbocation to generate living polymers (Scheme I). Similar initiating systems consisting of carboxylic acids and metal halides have been reported for isobutene⁸ and for styrene.⁹ A feature of the RCOOH/ZnCl₂ systems is that the acidity of RCOOH, or the nucleophilicity of RCOO⁻, can be systematically varied

Scheme I



by the electron-withdrawing power of the substituent R. In this study, we investigated the effects of the nucleophilicity of RCOO⁻ (as a function of R) and the acidity of Lewis acid activators with use of EtAlCl₂ in place of ZnCl₂.

Results and Discussion

1. Effects of Acetate Ions. Living Polymerization by CH₃CH(OiBu)OCOR/ZnCl₂. IBVE was polymerized by IBVE-acetic acid adducts 1 [CH₃CH(OiBu)OCOR; R = CF₃, CCl₃, CH₃] in conjunction with ZnCl₂ in toluene at 0 °C ([M]₀/[1]₀/[ZnCl₂]₀ = 380/5.0/2.0 mM). Regardless of the substituents R in the adducts 1, a quantitative polymerization occurred without an induction phase, and the reaction was faster as the substituent R was more electron withdrawing (Figure 1).

Figure 2 illustrates the molecular weight distribution (MWD) of the polymers obtained at conversions ~20, 40, and 100%. The molecular weights increased in all systems as the polymerizations proceeded. Strong acids (R = CF₃

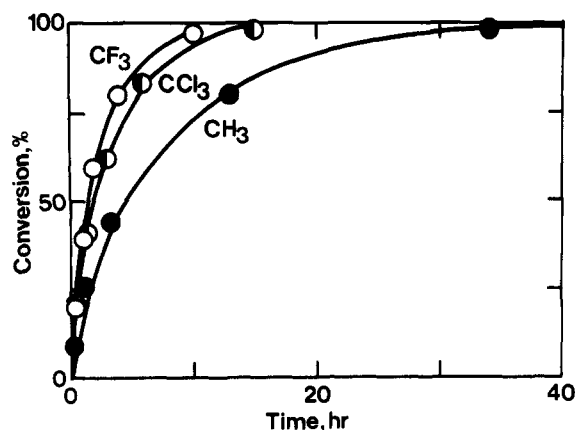


Figure 1. Time-conversion curves for the polymerization of IBVE by 1/ZnCl₂ in toluene at 0 °C: [M]₀ = 0.38 M; [I]₀ = 5.0 mM; [ZnCl₂]₀ = 2.0 mM. R = CF₃ (○), CCl₃ (●), CH₃ (●).

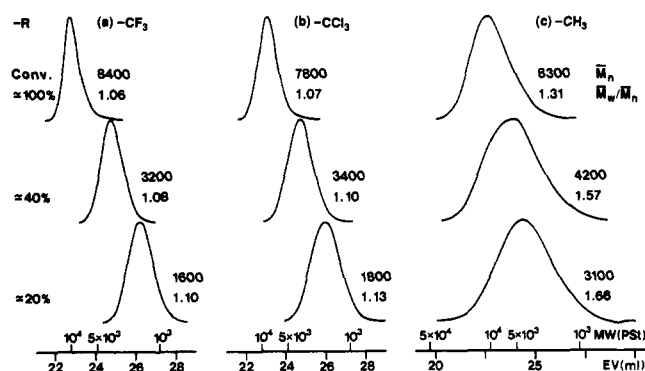


Figure 2. MWD curves of poly(IBVE) obtained with 1/ZnCl₂ in toluene at 0 °C (R = CF₃, CCl₃, CH₃). See Figure 1 for reaction conditions. Conversion ~20, 40, 100%.

or CCl₃) gave polymers with very narrow MWDs ($\bar{M}_w/\bar{M}_n \leq 1.1$), whereas acetic acid (R = CH₃) gave broader MWDs ($\bar{M}_w/\bar{M}_n \sim 1.3$).

As shown in Figure 3A (for R = CF₃, CCl₃), the number-average molecular weights (\bar{M}_n) of the polymers increased in direct proportion to monomer conversion and were in good agreement with the calculated values, assuming that one polymer chain forms per adduct 1 molecule. For R = CH₃ (Figure 3B), the \bar{M}_n values were higher than the calculated values at the early stage; as the polymerization proceeded, however, the \bar{M}_n 's came close to the calculated values, and the MWDs became narrower (see below).

The living nature of the 1/ZnCl₂-mediated polymerization was further demonstrated by so-called "monomer-addition" experiments, where a fresh feed of IBVE was added to the reaction mixture just before the initial charge of the monomer had completely been polymerized (Figure 4). The added IBVE feed was smoothly polymerized at nearly the same rate as in the initial stage. The polymer molecular weights further increased in direct proportion to monomer conversion and were in good agreement with the calculated values for living polymers, independent of the substituent R. Even after the monomer addition, the MWDs for R = CF₃ and CCl₃ stayed very narrow ($\bar{M}_w/\bar{M}_n \leq 1.1$), and those for R = CH₃ became somewhat narrower. These facts show that all these systems led to living polymers though different in MWD.

Another series of polymerizations was carried out with use of the IBVE adducts of tri-, di-, and monochloroacetic acid and ZnCl₂ to examine further the effects of the nucleophilicity of RCOO⁻ on the polymerizations. The more the adduct's acetate moiety is substituted with chlorine,

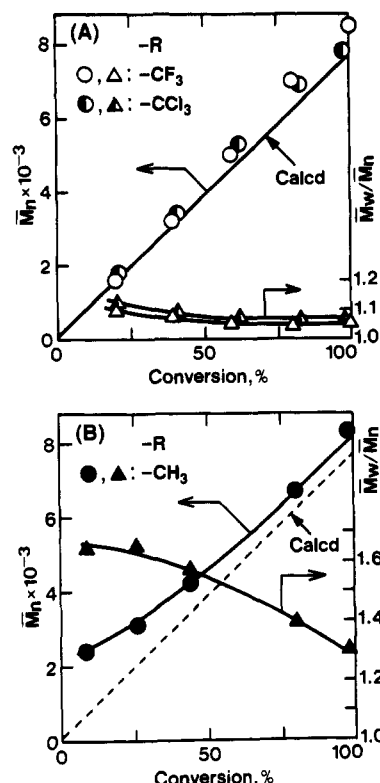


Figure 3. \bar{M}_n and \bar{M}_w/\bar{M}_n values of poly(IBVE) obtained with 1/ZnCl₂ in toluene at 0 °C: [M]₀ = 0.38 M; [I]₀ = 5.0 mM; [ZnCl₂]₀ = 2.0 mM. (A) R = CF₃ (○, Δ), CCl₃ (●, Δ); (B) CH₃ (●, Δ). The "Calcd" lines indicate the calculated \bar{M}_n assuming the formation of one living polymer per 1 molecule.

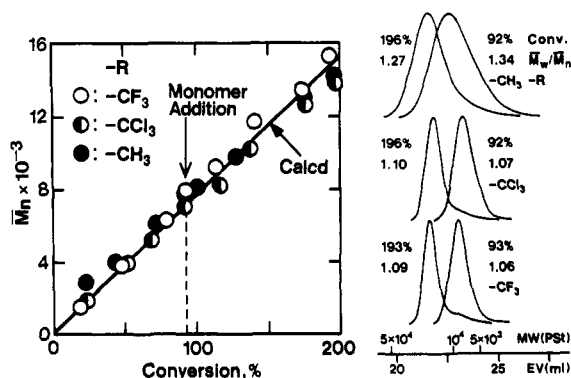


Figure 4. \bar{M}_n and MWD curves of poly(IBVE) obtained with 1/ZnCl₂ in toluene at 0 °C: [M]₀ = [M]_{add} = 0.38 M; [I]₀ = 5.0 mM; [ZnCl₂]₀ = 2.0 mM. The diagonal solid line indicates the calculated \bar{M}_n assuming the formation of one living polymer per 1 molecule. R = CF₃ (○), CCl₃ (●), CH₃ (●).

the faster the polymerization (CH₃ < CH₂Cl < CHCl₂ < CCl₃). As shown in Figure 5, the \bar{M}_n of the polymers obtained with 1 (R = CCl₃, CHCl₂, CH₂Cl)/ZnCl₂ was directly proportional to monomer conversion and in good agreement with the calculated value. The MWD gradually became broader as the nucleophilicity of RCOO⁻ increased (less chlorine substituted). This fact suggests that the nucleophilicity of acetate anions affects the living polymerization by 1/ZnCl₂ systematically.

Thus, as the nucleophilic anion, not only the iodide^{4,5} and the phosphate⁶ anions but also carboxylate anions were found to induce living cationic polymerization of IBVE in the presence of ZnCl₂. As in the polymerization by the HI/ZnX₂ initiating systems,^{4,5} the \bar{M}_n of the polymers is determined by the [M]₀/[I]₀ mole ratio but independent of the ZnCl₂ concentration; the latter, however, increases the overall polymerization rate. Therefore,

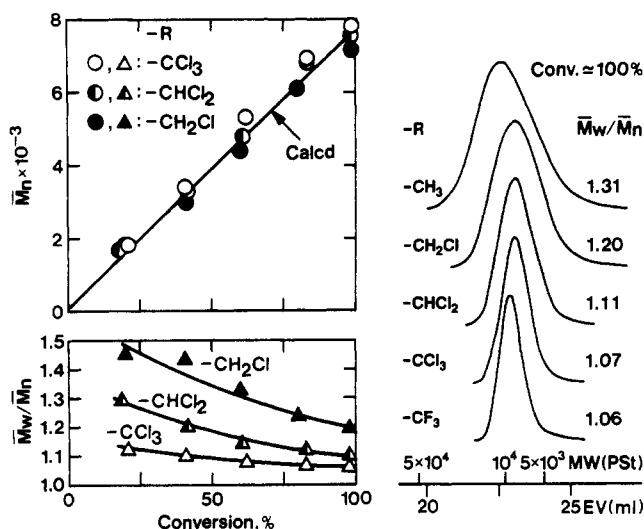


Figure 5. \bar{M}_n , \bar{M}_w/\bar{M}_n , and MWD curves of poly(IBVE) obtained with 1/ZnCl₂ in toluene at 0 °C: $[M]_0 = 0.38$ M; $[1]_0 = 5.0$ mM; $[ZnCl_2]_0 = 2.0$ mM. The diagonal solid line indicates the calculated \bar{M}_n assuming the formation of one living polymer per 1 molecule. R = CCl₃ (○, Δ), CHCl₂ (●, ▲), CH₂Cl (●, ▲).

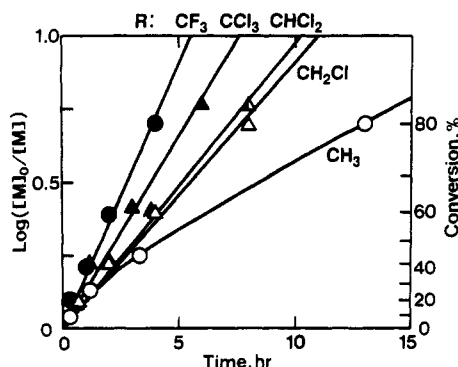


Figure 6. First-order plots for the IBVE polymerization by 1/ZnCl₂ in toluene at 0 °C. $[M]_0 = 0.38$ M; $[1]_0 = 5.0$ mM; $[ZnCl_2]_0 = 2.0$ mM. R = CF₃ (●), CCl₃ (▲), CHCl₂ (Δ), CH₂Cl (Δ), CH₃ (○).

the living polymerization by 1/ZnCl₂ may proceed as shown in Scheme 1, where 1 is the initiator that generates the growing end, whereas ZnCl₂ is the Lewis acid activator that activates the terminal C-OCOR linkage to form the living species 4.

Effects of the Nucleophilicity of RCOO⁻. Kinetic and NMR study was then carried out to clarify the effects of the nucleophilicity of RCOO⁻ on the polymerizations.

Figure 6 shows the logarithmic conversion data, log ([M]₀/[M]) ([M] is the monomer concentration at time *t*), plotted against *t*. The polymerization proved to be almost first order with respect to monomer ($-d[M]/dt = k[M]$) in all systems but one with R = CH₃, and the first-order rate constant, *k*, was obtained (see below).

The adduct 1 per se is stable and incapable of polymerizing IBVE in the absence of ZnCl₂. Figure 7 shows the representative ¹H NMR spectra of adducts 1 (R = CF₃, CHCl₂, CH₃). The structure of 1 was confirmed by ¹H and ¹³C NMR spectroscopy. Notably, the absorption of the methine proton b, which is adjacent to RCOO group, shifted upfield as the electron-withdrawing power of R decreased; $\delta(H^b)$, ppm: 6.06 (CF₃) > 6.02 (CCl₃) > 5.99 (CHCl₂) > 5.97 (CH₂Cl) > 5.89 (CH₃). The ¹³C NMR chemical shift of carbon b changed in a similar order; $\delta(C^b)$, ppm: 102.9 (CCl₃) > 101.9 (CF₃) > 100.4 (CHCl₂) > 98.6 (CH₂Cl) > 96.2 (CH₃), though the values for CF₃ and CCl₃ were opposite in order to those from the ¹H NMR

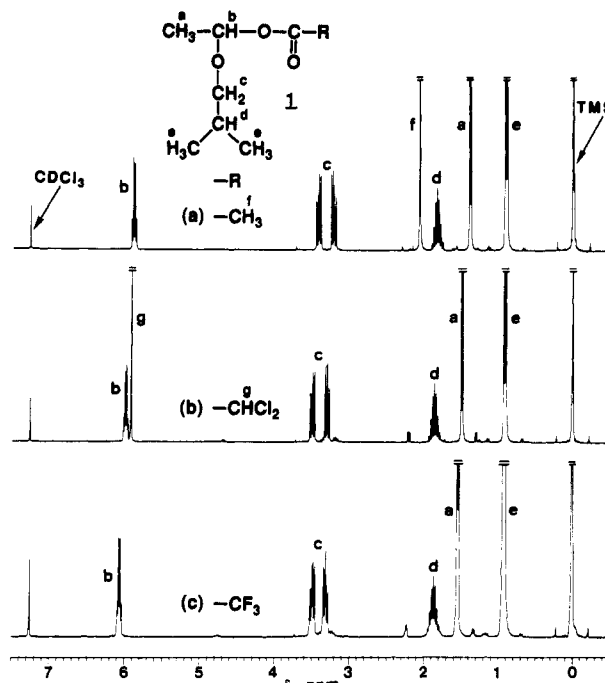


Figure 7. ¹H NMR spectra of 1 (125 mM) in a CDCl₃/CCl₄ (3:1 v/v) mixture at 25 °C.

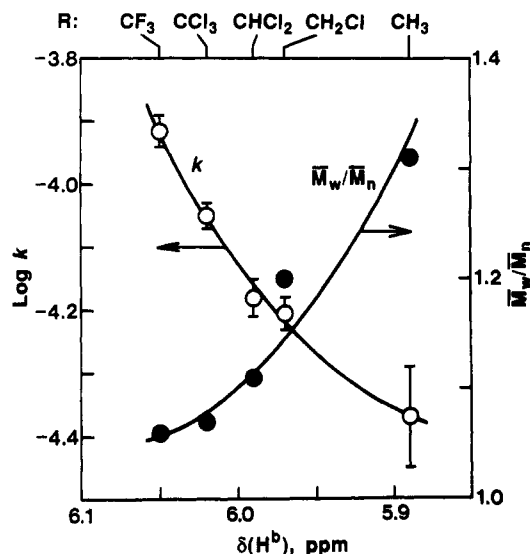


Figure 8. Logarithmic values of first-order rate constant (*k*) and \bar{M}_w/\bar{M}_n values at conversion ~100% for the IBVE polymerization by 1/ZnCl₂ in toluene at 0 °C, as a function of ¹H NMR chemical shifts [$\delta(H^b)$] of the methine proton b in 1 (cf. Figure 7): (○) log *k*; (●) \bar{M}_w/\bar{M}_n .

data. These orders indicate that the more electron withdrawing the substituent R, the more polarized the ester linkage, and the more electron deficient the α -carbon.

The logarithmic first-order rate constant *k* values (log *k*) and the \bar{M}_w/\bar{M}_n values of the polymers obtained at nearly 100% conversion were then plotted against the ¹H NMR chemical shifts [$\delta(H^b)$] of the methine proton of 1 (Figure 8). The polymerization rate (*k*) is greater for 1 with a more electron-withdrawing substituent R, most likely because the anion RCOO⁻ is less nucleophilic, to give a more dissociated growing end (4; Scheme I).

As described above, the 1/ZnCl₂ initiating systems induce living cationic polymerizations through quantitative initiation, while the amount of the activator (ZnCl₂) is smaller than that of the initiator (RCOO⁻ moiety). It implies, in turn, (1) that, for quantitative initiation from

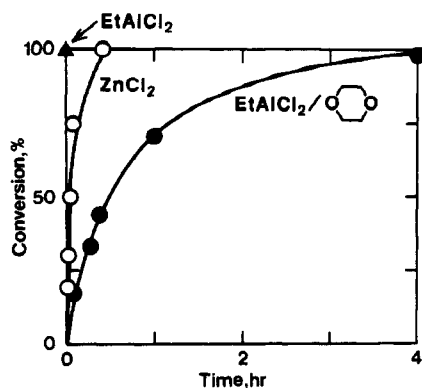


Figure 9. Time-conversion curves for the polymerization of IBVE by 1 ($R = CF_3$)/ MX_n in toluene at 0 °C: $[M]_0 = 0.38$ M; $[1]_0 = 5.0$ mM; $[MX_n]_0 = 10$ mM; $[1,4\text{-dioxane}]_0 = 1.2$ M (10 vol %). MX_n : (▲) $EtAlCl_2$; (○) $ZnCl_2$; (●) $EtAlCl_2$ in the presence of 1,4-dioxane.

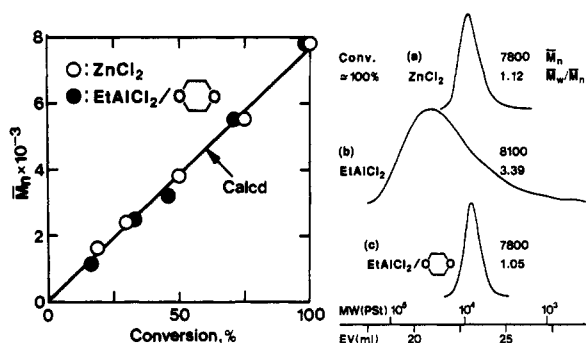


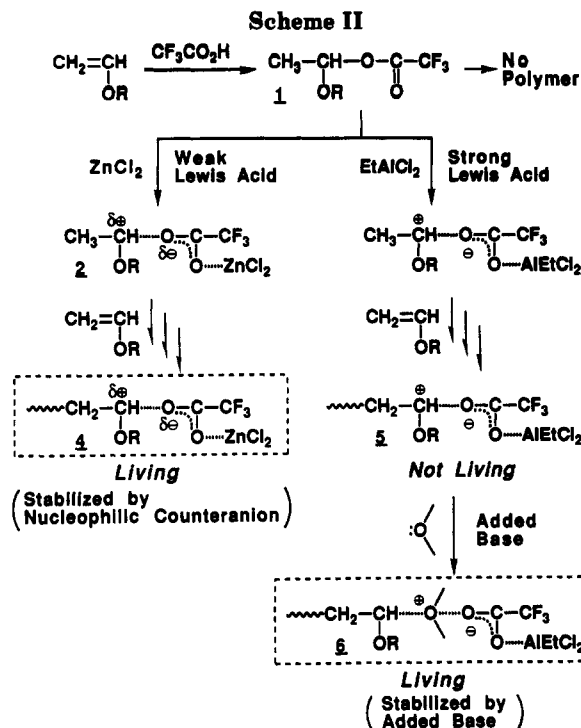
Figure 10. \bar{M}_n and MWD curves of poly(IBVE) obtained with 1 ($R = CF_3$)/ MX_n in toluene at 0 °C. See Figure 9 for reaction conditions. The diagonal solid line indicates the calculated \bar{M}_n assuming the formation of one living polymer per 1 molecule. MX_n : (○) $ZnCl_2$; (●) $EtAlCl_2$ in the presence of 1,4-dioxane.

1, the active species (2 or 4; Scheme I) and the dormant species (1 or 3) should be in equilibrium and (2) that, for very narrow MWDs, the interconversion between the active and dormant species should be more frequent than the initiation and propagation. The relatively broad MWD (Figure 2c) with the nucleophilic acetate ion ($R = CH_3$), therefore, may be due to that such interconversions ($1 \rightleftharpoons 2$; $3 \rightleftharpoons 4$) are not fast enough.¹⁰

In any case, the observed systematic effects of $RCOOH$ further supports a strong interaction between the carboxylate moiety ($RCOO^-$) and the growing carbocation.

2. Effects of Lewis Acid Activators. Besides the structure of the anion part (B^- ; eq 1), the choice of Lewis acid activators (MX_n) seems important for attaining living cationic polymerization by electrophile/Lewis acid activator systems. IBVE was then polymerized with use of a strong Lewis acid, ethylaluminum dichloride ($EtAlCl_2$), in place of $ZnCl_2$ in the $CH_3CH(OiBu)OCOCF_3/ZnCl_2$ system in toluene at 0 °C. The monomer was consumed instantly (Figure 9) and a conventional, nonliving polymerization occurred to give polymers with a very broad MWD ($\bar{M}_w/\bar{M}_n = 3.4$) (Figure 10b). That is in sharp contrast to the narrow MWD (Figure 10a) obtained under the same conditions but with the weaker Lewis acid ($ZnCl_2$).

As already reported in part,¹¹ however, the polymerization by $CH_3CH(OiBu)OCOCF_3/EtAlCl_2$ proceeded slowly when carried out in the presence of an excess of an externally added base such as 1,4-dioxane. Interestingly, the \bar{M}_n of the polymers obtained with the added base now increased in direct proportion to monomer conversion and agreed with the calculated value, assuming that one polymer chain forms per unit 1 (Figure 10c). It is important



that the quite different initiating systems, 1/ $ZnCl_2$ and 1/ $EtAlCl_2$ /1,4-dioxane, led to living polymers with the same molecular weights that were determined by the $[M]_0/[1]_0$ mole ratio.

These effects of the Lewis acid activators are summarized in Scheme II. Although the polymerizations with $EtAlCl_2$ and $ZnCl_2$ start from a common species $[CH_3CH(OiBu)OCOCF_3]$, their living nature is clearly affected by the Lewis acid activators. With $EtAlCl_2$, the stronger Lewis acid, the ester linkage of 1 may be "activated" so strongly as in 5 that the resulting counteranion ($^-OCO-CF_3 \cdots AlEtCl_2$) is less nucleophilic than the $ZnCl_2$ -derived counterpart in 4 ($^-OCO-CF_3 \cdots ZnCl_2$), and thus the growing species 5 is no longer stabilized by the counteranion. Addition of 1,4-dioxane leads to a living polymerization, most likely via an intermediate like 6, where the carbocationic center is now stabilized by the added base.¹² Note that living species 4 is based on the stabilization of the propagating end by a suitably nucleophilic counteranion (method 1), whereas living species 6 is based on an externally added nucleophile (method 2).

In conclusion, $CH_3CH(OiBu)OCOR/ZnCl_2$ initiating systems led to living cationic polymerization of vinyl ethers. The effects of $CH_3CH(OiBu)OCOR$ (initiator) and Lewis acid (MX_n ; activator) demonstrate the importance of the nucleophilic interaction between the growing carbocation and the counteranion ($^-OCOR \cdots MX_n$) and thereby support the mechanism (Scheme II) proposed by us. Furthermore, these results have revealed that our two methods (1) and (2) for living cationic polymerization, i.e., stabilization of a growing carbocation by a counteranion and stabilization of a growing carbocation by an externally added base, though apparently different, can be understood comprehensively on the basis of the varying nucleophilicity of the counteranion ($B^- \cdots MX_n$; eq 1) generated from an initiator and a Lewis acid activator.

Experimental Section

Materials. $ZnCl_2$ (Aldrich, 1.0 M solution in diethyl ether) and $EtAlCl_2$ (Kanto Chemicals, 0.95 M solution in *n*-hexane) were commercially supplied as solutions, which were diluted with Et_2O and toluene, respectively. IBVE (Tokyo Kasei) was washed

with 10% aqueous sodium hydroxide solution and then water, dried overnight over potassium hydroxide, and distilled twice over calcium hydride before use. Toluene (solvent), 1,4-dioxane, and carbon tetrachloride (internal standard for gas chromatography) were purified by the usual methods and distilled twice over calcium hydride before use.³ Diethyl ether (Dojin, purity >99%, anhydrous) was distilled in the presence of LiAlH₄ before use.

Synthesis of the RCOOH-IBVE Adduct (1). The adduct 1 with R = CH₃ was synthesized and purified as previously reported.⁷ The other four adducts (R = CH₂Cl, CHCl₂, CCl₃, CF₃) were synthesized by stirring magnetically an equimolar mixture of RCOOH (purity >98%; as received) and IBVE (500 mM each) in CCl₄ at 0 °C for ca. 10 min, and their quantitative formation was confirmed by ¹H and ¹³C NMR spectroscopy (see Figure 7 for representative ¹H NMR spectra). CH₂ClCOOH was used as a diethyl ether solution because of its insolubility in CCl₄.

Polymerization Procedures. Polymerization was carried out under dry nitrogen in baked glass tubes equipped with three-way stopcocks. The reaction was initiated by adding, via dry syringes, a solution of ZnCl₂ (in Et₂O; 0.50 mL) or EtAlCl₂ (in toluene; 0.50 mL) into a monomer solution (in toluene; 4.5 mL) containing 1. For the polymerization in the presence of 1,4-dioxane (0.50 mL, 10 vol %, 1.2 M), it was added prior to initiation to the monomer solution. The polymerization was terminated with prechilled methanol (ca. 2 mL) containing a small amount of ammonia.

Monomer conversion was determined from its residual concentration measured by gas chromatography with CCl₄ as an internal standard. The polymer yield by gravimetry was in good agreement with the gas chromatographic conversion of the monomer.

The quenched reaction mixture was washed with 10% aqueous sodium thiosulfate solution (for 1/ZnCl₂) or with dilute hydrochloric acid (for 1/EtAlCl₂) and then with water to remove initiator residues, evaporated to dryness under reduced pressure, and vacuum dried to give the product polymers.

Measurements. The MWD of polymers was measured by size-exclusion chromatography in chloroform at room temperature on a Jasco Triotar-V chromatograph equipped with three polystyrene gel columns (Shodex K-802, K-803, and K-804). The \bar{M}_n and \bar{M}_w/\bar{M}_n values of the polymers were calculated from size-exclusion eluograms on the basis of a polystyrene calibration. ¹H and ¹³C NMR spectra (270 and 67.5 MHz, respectively) were recorded in a mixture of solvents (CDCl₃:CCl₄ = 3:1 and 1:4, respectively) at 25 °C on a Jeol GSX-270 spectrometer.

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- (10) An exchange reaction between chloride in ZnCl₂ and carboxylate derived from the initiator 1 might occur, and in these polymerizations this possibility cannot be excluded. This point is now under investigation.
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- (12) The polymerization mixture with ZnCl₂ contained 10 vol % (0.96 M) of diethyl ether (Et₂O) as solvent for the zinc salt (see Experimental Section). However, our separate experiments show that the polymerization by 1(R = CF₃)/ZnCl₂ (5.0/2.0 mM), even in the presence of as small as 0.2 vol % (19 mM) of Et₂O, was indeed living ($\bar{M}_w/\bar{M}_n < 1.2$). On the other hand, the polymers obtained with 1/EtAlCl₂ in the presence of 10 vol % of Et₂O had broad MWDs (cf. ref 11). These facts suggest that 10 vol % of Et₂O cannot stabilize the growing carbocations under these conditions, whether they are generated with ZnCl₂ or EtAlCl₂.
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